



Special EU Programmes Body
Foras Um Chláir Speisialta An AE
Boord O Owre Ocht UE Projects

PEACE III – Measure 1.1A

Chairpersons' and Managers' Forum

28 March 2012

Financial Control & Verification



European Union
European Regional
Development Fund
Investing in your future

SEUPB Financial Controller

- Programme Finance Manager – March 2010 to September 2011; and
 - Financial Controller – September 2011 - ?
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Context

- 2 EU Structural Funds Programmes:
 - INTERREG IVA (€256M); and
 - PEACE III (€333M).
 - Financial Control Unit:
 - 14 Staff (7 Programme Officers) across 3 sites;
 - Verify circa 100 of circa 160 LPs.
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Key Issues / Potential Developments

- Public Procurement Regulations:
 - EU Laws; and
 - National Rules (very stringent).
 - Potential Developments:
 - Raising the lower procurement threshold; and
 - Review of Financial Corrections.
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Error Rates and Sample Sizes

Error Rate	Sample Size
0%	5%
>0%, <=1%	10%
>1%, <=2%	15%
>2%, <=5%	25%
>5%, <=10%	50%
>10%	75%

Past & Current Performance

Current Ranking	Error Rate Jul to Dec 11	Sample Size Jan to Jun 12	Previous Ranking	Error Rate Jan to Jun 11	Sample Size Jul to Dec 11
1	0.00%	5%	1=	0.00%	5%
2	0.25%	10%	5	1.76%	15%
3	0.82%	10%	13	17.29%	75%
4	0.90%	10%	4	0.89%	10%
5	1.28%	15%	11	10.53%	75%
6	1.31%	15%	14	58.20%	75%
7	1.43%	15%	8	3.43%	25%
8	1.45%	15%	12	15.77%	75%
9	2.64%	25%	9	6.42%	50%
10	7.24%	50%	6	2.16%	25%
11	8.90%	50%	7	3.37%	25%
12	9.72%	50%	1=	0.00%	5%
13	12.52%	75%	10	6.59%	50%
14	33.53%	75%	3	0.57%	10%

Case Example of a Partnership Improving its Processes (1)

- Staffing Resources:
 - Expertise / skills; and
 - Sufficient resources applied to verification.
 - Communication with Projects:
 - Consistency; and
 - Take & explain tough decisions.
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Case Example of a Partnership Improving its Processes (2)

- Preparation for SEUPB Verification Visit:
 - Filing / cross referencing; and
 - Documentation in place.
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Lessons Learned / Top Tips

- Communicate with SEUPB FCU;
 - Become familiar with GN4 & GN6;
 - Take tough decisions;
 - Respond to queries within 10 working days;
 - Submit STA requests well in advance;
 - Submit LP Declaration (with claim print) to FCU Omagh; and
 - Submit Progress Reports on time.
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